





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Carlson et al.**

Serial No. 09/329,456

Filed: **June 10, 1999**

**For: Method and Apparatus for  
Monitoring and Handling Events for a  
Collection of Related Threads in a  
Data Processing System**

§  
§ Group Art Unit: **2127**  
§  
§ Examiner: **Tang, Kenneth**  
§  
§ Attorney Docket No.: **AT9-99-149**  
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**Certificate of Mailing Under 37 C.F.R. § 1.8(a)**  
I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 18, 2003.  
By: Michele Morrow  
Michele Morrow

**RESPONSE TO NOTICE OF DEFECTIVE APPEAL BRIEF**

**RECEIVED**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

JUL 25 2003

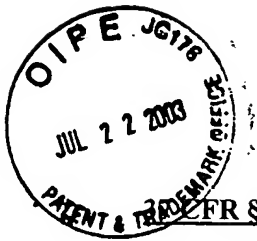
Technology Center 2100

Sir:

A Notice of Defective Appeal Brief was received by Applicant stating that "The Appeal Brief filed on 5/8/03 is defective because the Application has not complied with 37 CFR 1.192(c) relating to the content of the Summary of Invention. Specifically, the Application has not included in the Summary of Invention an explanation to refer to the specification by page and line number, and, if there is a drawing, to the drawing by reference characters. Where applicable, it is preferable to read the appealed claims on the specification and any drawing. While reference to page and line of the specification may require somewhat more detail than simply summarizing the invention, it is considered important to enable the Board more quickly determine where the claimed subject matter is described in the application." A copy of the Notice of Defective Appeal Brief is attached hereto.

No fees are believed to be required. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

In response to the Notice of Defective Appeal Brief dated June 18, 2003, please consider the following remarks:



REMARKS

37 CFR § 1.192(c)

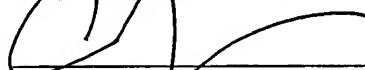
The Examiner states that the Appellant's Brief has not complied with 37 CFR 1.192(c) relating to the content of the Summary of Invention.

In response, the Appellant submits herewith a substitute appeal brief, which contains a summary of the invention containing references to the detailed description of the invention by page and line number and references to the corresponding drawings.

Therefore, for the reasons stated above, the Appellant's brief is in compliance with 37 CFR § 1.192(c).

Date: 7/18/03

Respectfully submitted,



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## UNITED STATES DEPARTMENT OF COMMERCE

## U.S. Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS

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APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
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EXAMINER

ART UNIT

PAPER

**RECEIVED**

DATE MAILED: JUL 25 2003

Technology Center 2100

Please find below and/or attached an Office communication concerning this application or proceeding.

## Commissioner for Patents

The Appeal brief filed on 5/8/03 is defective because the Applicant has not complied with 37 CFR 1.192(c) relating to the content of the Summary of Invention. Specifically, the Applicant has not included in the Summary of Invention an explanation to refer to the specification by page and line number, and, if there is a drawing, to the drawing by reference characters. Where applicable, it is preferable to read the appealed claims on the specification and any drawing. While reference to page and line of the specification may require somewhat more detail than simply summarizing the invention, it is considered important to enable the Board to more quickly determine where the claimed subject matter is described in the application.

APPLICANT IS GIVEN A TIME LIMIT OF ONE MONTH FROM THE DATE OF THIS LETTER TO FILE AN AMENDED BRIEF. IF THE APPELLANT DOES NOT FILE AN AMENDED BRIEF WITHIN THE ONE MONTH PERIOD, OR FILES AN AMENDED BRIEF WHICH DOES NOT OVERCOME ALL THE REASONS FOR NON-COMPLIANCE STATED IN THE NOTIFICATION, THE APPEAL WILL BE DISMISSED. ANY ARGUMENTS OR AUTHORITIES NOT INCLUDED IN THE BRIEF MAY BE REFUSED CONSIDERATION BY THE BOARD OF PATENT APPEALS AND INTERFERENCES.

Kenneth Tang

June 6, 2003.

JOHN FOLLANSBEE  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100